



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Timothy Roy Block : Date: March 1, 2005
Group Art Unit: 2153 : IBM Corporation
Examiner: B. E. Edelman : Intellectual Property Law
Serial No.: 09/718,924 : Dept. 917, Bldg. 006-1
Filed: November 22, 2000 : 3605 Highway 52 North
Title: APPARATUS AND METHOD FOR : Rochester, MN 55901
COMMUNICATING BETWEEN
COMPUTER SYSTEMS USING A
SLIDING SEND WINDOW FOR
ORDERED MESSAGES IN A
CLUSTERED COMPUTING
ENVIRONMENT

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO/SB/08A be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. §1.97. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. §1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. §1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made. The references listed herein were cited in a search report by a foreign patent office, a copy of which is attached hereto. If any references listed herein are in a language other than English, said foreign search report is submitted in satisfaction of the requirements of 37 C.F.R. 1.98(a)(3).

Serial No. 09/718,924
Docket No. ROC920000123US1

This Information Disclosure Statement is being filed under 37 C.F.R. 1.97(c) before a notice of allowance. No filing fee is required because each item of information contained herein was cited for the first time in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement (certification provided below).

CERTIFICATION

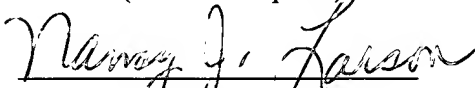
I hereby certify that each item of information contained herein was cited for the first time in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.


Leslie J. Payne

03/01/05
Date

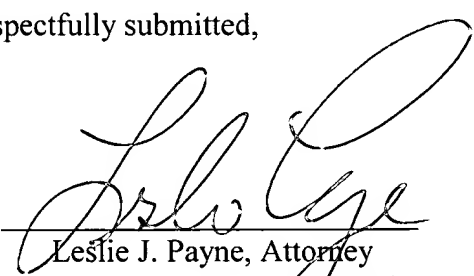
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

March 1, 2005
(Date of Deposit)


Nancy J. Larson

Respectfully submitted,

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PTO/SB/08A

Application Number.: 09/718,924
 Filing Date: November 22, 2000
 First Named Inventor: Timothy Block
 Art Unit: 2153
 Examiner Name: B. E. Edelman
 Attorney Docket Number.: ROC920000123US1

**INFORMATION DISCLOSURE
 STATEMENT BY APPLICANT**
Sheet 1 of 1
U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	<u>Document Number</u> Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	<u>Foreign Patent Document</u> Country ³ - Number ⁴ - Kind Code ⁵ Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear	T ⁶
	A	JP 2000-156706 A	06/06/2000	Takahiko et al		X
	B	JP 07-311753 A	11/28/1995	Eager et al		X
	C	JP 05-204811 A	08/13/1993	Tanabe Junji		X
	D	JP 2000-196677 A	07/14/2000	Tetsuya et al		X

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.